

Item 1 – Cover Page

**Part 2B of Form ADV
Brochure Supplement for:**

Heber Keith Brunnemer III

FTA Asset Management, LLC
6101 Carnegie Blvd.
Charlotte, NC 28209
www.firsttryon.com

January 24, 2025

This Brochure Supplement provides information about Heber Keith Brunnemer III that supplements the FTA Asset Management, LLC (“FTAAM” or the “Firm”) Brochure. You should have received a copy of that Brochure. Please contact Tina Taylor at 704-926-2455 or by email ttaylor@firsttryon.com if you did not receive its Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about the Firm is also available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Keith Brunnemer joined First Tryon Securities, LLC in 1998 as a fixed income trading assistant. He was promoted to manager of the trading and sales team in 2003, helping to expand the firm's reach to cover a more diverse customer base and greater breadth of products.

In 2009, Keith was named Chief Executive Officer of First Tryon Securities, LLC and helped to found First Tryon Advisors in an effort to diversify the organizations interests. In 2024, Mr. Brunnemer was named Chief Executive Officer and Chief Compliance Officer of FTAAM. In addition to being tasked with various management responsibilities for both businesses, he continues to actively trade a wide range of securities with a concentration in bank/finance paper, floating rate, and structured products.

Keith earned a Bachelor of Arts in Economics from Davidson College. He is FINRA registered with First Tryon Securities, LLC, where he holds his General Securities Representative License (Series 7), Uniform Securities Agent License (Series 63), General Principals License (Series 24) and Municipal Securities Principal license (Series 53).

Item 3 – Disciplinary Information

Mr. Brunnemer has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of him.

Item 4 – Other Business Activities

Mr. Brunnemer is Chief Executive Officer of and licensed with First Tryon Securities, LLC, FTAAM's affiliated broker-dealer. Mr. Brunnemer is also CCO of First Tryon Advisors, LLC, FTAAM's affiliated municipal advisor. Mr. Brunnemer is not registered and does not have an application pending to register as a futures commission merchant, commodity pool operator, commodity trading advisor, or an associated person of any of the foregoing.

Item 5 – Additional Compensation

Mr. Brunnemer does not receive any economic benefit from someone who is not a Client for providing advisory services.

Item 6 – Supervision

Mr. Brunnemer is a Chief Executive Officer and Chief Compliance Officer at FTAAM. He is supervised by Tina Taylor. He is subject to the Firm's Code of Ethics and other policies and

procedures administered by the Firm. Tina Taylor's contact information is provided on the Cover Page of this Brochure Supplement.

Item 7 – Requirements for State-Registered Investment Advisers

Not applicable.