

## **BUSINESS CONTINUITY PLAN**

First Tryon Advisors, LLC (“First Tryon”) has developed a Business Continuity Plan (“BCP”) documenting how we will respond to events that may significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events. With that in mind, we are providing the following information with regards to our BCP.

First Tryon plans to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, perform a financial and operational assessment, protect our books and records and allow our customers to continue to transact business. The BCP accounts for a variety of interruptions and assesses the severity of a disruption to activate the necessary procedures.

First Tryon’s BCP addresses data backup and recovery of mission critical systems; alternative communications with employees, customers and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparty impact; and regulatory reporting. Modifications to the BCP will be made as warranted based on changing circumstances and needs.

Significant business disruptions can vary in their scope such as only our firm, the buildings housing our firm, or the cities or regions where we are located. Within each of these areas, the severity of the disruption can also vary. In a disruption to our firm or any building housing our firm, we will transfer operations to another local site and expect to recover and resume business within one day. In a disruption affecting our business district, city or region, we will transfer our operations to a site outside of the affected area and recover and resume business within five days. In either situation, we plan to continue business and notify you through our website ([www.firsttryon.com](http://www.firsttryon.com)) on how to contact us.

In the event that First Tryon must implement its BCP, customers may contact us at:

- Charlotte Office: 704-926-2453
- Annapolis Office: 410-267-8811

Any revisions to this BCP will be posted to our website.